FORM NLRB-501 (3-21)

# UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

| DO NOT WRITE IN THIS SPACE |            |  |
|----------------------------|------------|--|
| Case                       | Date Filed |  |
| 10-CA-276082               | 04-23-2021 |  |

INSTRUCTIONS:

| File an original with NLRB Regional Director for the region in   | which the alleged unfair labor practice occurred or is occu  | rring.  |
|--|--|---|
| 1. EMPL  | OYER AGAINST WHOM CHARGE IS BROUGHT  | A   |
| a. Name of Employer<br>Amazon.com Services, LLC  |  | b. Tel. No.<br>888-892-7180                     |
|  |  | c. Cell No.                                     |
| d. Address (Street, city, state, and ZIP code)   | e. Employer Representative   | f. Fax. No.                                     |
| 975 Powder Plant Road Bessemer, AL 35022   | Travis Maynard, Director of Operations   | g. e-mail                                       |
|  |  | h. Number of workers employed<br>Approx. 5000   |
| i. Type of Establishment (factory, mine, wholesaler, etc.) Warehouse and Distribution Center   | j. Identify principal product or service<br>Shipment of goods and products   |   |
| The above-named employer has engaged in and is engaged   | ging in unfair labor practices within the meaning of section   | on 8(a), subsections (1) and                    |
| (list subsections) (3)   | of the National Labor  | Relations Act, and these unfair labor           |
| practices are practices affecting commerce within the mea  | aning of the Act, or these unfair labor practices are prac   | tices affecting commerce within the             |
| meaning of the Act and the Postal Reorganization Act.  |  |   |
| 2. Basis of the Charge (set forth a clear and concise state On or about (b) (6), (b) (7)(C) 2021, the above named er protected concerted activity and support for an On or about (b) (6), (b) (7)(C) 2021, the above named er (b) (6), (b) (7)(C) for engaging in protected concerted activity discourage employees from engaging in protected | nployer violated the Act by terminating employed activities on behalf of the Union.  mployer violated the Act by disciplining and retal ity and because of support for and activities of | e (b) (6), (b) (7)(C) for engaging in           |
| Full name of party filing charge (if labor organization, go  | ive full name, including local name and number)  |   |
| Retail, Wholesale and Department Store Union   |  |   |
| 4a. Address (Street and number, city, state, and ZIP code 1901 10th Avenue South   | 9)   | 4b. Tel. No.<br>205-322-7462                    |
| Birmingham, AL 35205   |  | 4c. Cell No,                                    |
|  |  | 4d. Fax No.<br>205-322-8447                     |
|  |  | 4e. e-mail                                      |
| 5. Full name of national or international labor organization   | of which it is an affiliate or constituent unit (to be filled in   | n when charge is filed by a labor organization) |
| United Food and Commercial Workers, Internation  | nal Union  |   |
| I declare that I have read the about   | ARATION ve charge and that the statements by knowledge and belief.   | Tel. No.<br>205-870-9989                        |
| MM are title to the best of the  | George N. Davies   | Office, if any, Cell No.                        |
| (signature of representative or person making charge)  | (Print/type name and title or office, if any)  | Fax No.   |
| 2 - 20th Street North, Suite 930, Birming  | ham, AL 35203  | e-mail<br>gdavies@qcwdr.com                     |

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information may cause the NLRB to decline to invoke its processes.



### UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

401 W. Peachtree Street, NE Agency Website: www.nlrb.gov Telephone: (404)331-2896 Atlanta, GA 30308 Fax: (404)331-2858



April 23, 2021

Retail, Wholesale Department Store Union 1901 10th Ave South Birmingham, AL 35205-2601

**REGION 10** 

Suite 2201

Re: Amazon.com Services, LLC

Case 10-CA-276082

Dear Sir or Madam:

The charge that you filed in this case on April 23, 2021 has been docketed as case number 10-CA-276082. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

**Investigator:** This charge is being investigated by Field Attorney KURT BRANDNER whose telephone number is (470)343-7491. If this Board agent is not available, you may contact Supervisory Field Attorney MATTHEW TURNER whose telephone number is (470)343-7497.

**Right to Representation:** You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing Form NLRB-4701, Notice of Appearance. This form is available on our website, www.nlrb.gov, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

**Presentation of Your Evidence:** As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

**Preservation of all Potential Evidence:** Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text

messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence</u>: All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. Please ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a> or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

SCOTT C. THOMPSON Acting Regional Director

cc: George N. Davies 2-20th Street N, Suite 930 Birmingham, AL 35203



## UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 10 401 W. Peachtree Street, NE Suite 2201 Atlanta, GA 30308

Agency Website: www.nlrb.gov Telephone: (404)331-2896 Fax: (404)331-2858 Download NLRB Mobile App

April 23, 2021

Travis Maynard, Director of Operations Amazon.com Services LLC 975 Powder Plant Rd Bessemer, AL 35022-5497

Re: Amazon.com Services, LLC

Case 10-CA-276082

Dear Mr. Maynard:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney KURT BRANDNER whose telephone number is (470)343-7491. If this Board agent is not available, you may contact Supervisory Field Attorney MATTHEW TURNER whose telephone number is (470)343-7497.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing Form NLRB-4701, Notice of Appearance. This form is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not

enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor requests to limit our use of position statements or evidence. Specifically, any material you submit may be introduced as evidence at a hearing before an administrative law judge regardless of claims of confidentiality. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Further, the Freedom of Information Act may require that we disclose position statements or evidence in closed cases upon request, unless an exemption applies, such as those protecting confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

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If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. Please ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a> or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

SCOTT C. THOMPSON Acting Regional Director

#### Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

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| CASE 10-CA-276082   |
| GENERAL COUNSEL  NATIONAL LABOR RELATIONS BOARD  Washington, DC 20570   |
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| THAT THE PARTY MAY RECEIVE COPIES OF<br>N ADDITION TO THOSE DESCRIBED BELOW, THIS<br>WILL RECEIVE ONLY COPIES OF CERTAIN<br>NTS AS DESCRIBED IN SEC. 11842.3 OF THE |
| MATION)   |
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| /Ivania Ave., NW, Washington, DC 20004  |
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|   |
| 202-739-3001  |
| _ <sub>FAX:</sub> 202-739-3001  |
|   |

 $<sup>^{1}</sup>$  IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.

| Amazon.com Services, LLC,                                     |   |  |
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| Charged Pa  | rty   |  |
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| and Rotail Wholesele Department                               | Stora Union   | CASE 10-CA-276082  |
| Retail, Wholesale Department Charging Pa                      |   |  |
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|   | EXECUTIVE SECRETARY<br>NATIONAL LABOR RELATIONS BOARD<br>Vashington, DC 20570 | GENERAL COUNSEL NATIONAL LABOR RELATIONS BOARD Washington, DC 20570  |
|   |   |  |
| THE UNDERSIGNED HEREBY ENTER                                  | RS APPEARANCE AS REPRESENTAT  | IVE OF   |
| Charged Party: Amazon.com Se                                  |   |  |
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| IN THE ABOVE-CAPTIONED MATTE                                  | R.  |  |
| CHECK THE APPROPRIATE BOX(ES)                                 | ) PELOW.  |  |
| REPRESENTATIVE IS AN ATI                                      |   |  |
|   |   |  |
| CERTAIN DOCUMENTS OR CORRES<br>BOX MUST BE CHECKED. IF THIS B | PONDENCE FROM THE AGENCY IN OX IS NOT CHECKED, THE PARTY V                    | THAT THE PARTY MAY RECEIVE COPIES OF<br>ADDITION TO THOSE DESCRIBED BELOW, THIS<br>VILL RECEIVE ONLY COPIES OF CERTAIN<br>TS AS DESCRIBED IN SEC. 11842.3 OF THE |
|   | (REPRESENTATIVE INFOR)  | MATION)  |
| David R. Broderdorf   | <u>`</u>  | <u> </u>   |
| NAME:Morgan Lew   | ris & Bockius LLP 1111 Pennsy   | vania Ave., NW, Washington, DC 20004   |
| E-MAIL ADDRESS:   | orf@morganlewis.com   |  |
| OFFICE TELEPHONE NUMBER: 20                                   | 2-739-5817  |  |
| CELL PHONE NUMBER:  |   | FAX: 202-739-3001  |
|   |   |  |
| SIGNATURE <u>:</u> (Please sign in ink.)                      | April 20, 2004  |  |
| DATE:   | April 26, 2021  |  |

 $<sup>^{1}</sup>$  IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.

| Amazon.com Services, LLC, Charged Party  |   |
|--|---|
| and Retail, Wholesale Department Store Union, Charging Party   | CASE 10-CA-276082   |
| EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570  | GENERAL COUNSEL NATIONAL LABOR RELATIONS BOARD Washington, DC 20570         |
| THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTAT Charged Party: Amazon.com Services, LLC  | IVE OF  |
| IN THE ABOVE-CAPTIONED MATTER.   |   |
| CHECK THE APPROPRIATE BOX(ES) BELOW:  REPRESENTATIVE IS AN ATTORNEY  |   |
| IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE TO CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY VIDOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMEN CASEHANDLING MANUAL. | ADDITION TO THOSE DESCRIBED BELOW, THIS WILL RECEIVE ONLY COPIES OF CERTAIN |
| (REPRESENTATIVE INFOR  | MATION)   |
| Harry I. Johnson, III  |   |
| Morgan Lewis & Bockius LLP 2049 Century  MAILING ADDRESS:  | Park East, Ste 700, LA, CA 90067  |
| E-MAIL ADDRESS: harry.johnson@morganlewis.com  |   |
| OFFICE TELEPHONE NUMBER: 310-255-9005  |   |
| CELL PHONE NUMBER:   | _FAX:310-907-1001   |
| SIGNATURE: /s/ Harry I. Johnson, III  (Please sign in ink.) April 26, 2021  DATE:  |   |
|  |   |

 $<sup>^{\</sup>rm 1}$  IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.

| Amazon.com Services, LLC,<br>Charged Party   |   |
|--|---|
| and  | CASE 10-CA-276082   |
| Retail, Wholesale Department Store Union, Charging Party   | CASE 10 07. 27.002  |
|  |   |
| REGIONAL DIRECTOR  EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570   | GENERAL COUNSEL NATIONAL LABOR RELATIONS BOARD Washington, DC 20570         |
| THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTAT  | TIVE OF   |
| Charged Party: Amazon.com Services, LLC  |   |
|  |   |
| IN THE ABOVE-CAPTIONED MATTER.   |   |
| CHECK THE APPROPRIATE BOX(ES) BELOW:  REPRESENTATIVE IS AN ATTORNEY  IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE TO CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY OF DOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMENTS SUCH AS CHARGES. | ADDITION TO THOSE DESCRIBED BELOW, THIS WILL RECEIVE ONLY COPIES OF CERTAIN |
| (REPRESENTATIVE INFOR  | MATION)   |
| Francisco Guzman   |   |
| Morgan Lewis & Bockius LLP 101 Park Ave  | enue, New York, NY 10178-0060   |
| E-MAIL ADDRESS: francisco.guzman@morganlewis.com   |   |
| OFFICE TELEPHONE NUMBER: 212-309-6798  | _   |
| CELL PHONE NUMBER:   | <sub>FAX:</sub> 212-309-6001  |
| SIGNATURE: /s/ Francisco Guzman  |   |
| (Please sign in ink.) April 26, 2021   |   |
|  |   |

 $<sup>^{\</sup>rm 1}$  IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.

| Amazon.com Services, LLC   |   |
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| and  | CASE 10-CA-276082   |
| Retail, Wholesale and Department Store Union   |   |
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| REGIONAL DIRECTOR  EXECUTIVE SECRETARY NATIONAL LABOR RELAT Washington, DC 20570   | GENERAL COUNSEL NATIONAL LABOR RELATIONS BOARD Washington, DC 20570 |
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| THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS RI   | EPRESENTATIVE OF  |
| Retail, Wholesale and Department Store Union   |   |
| IN THE ABOVE-CAPTIONED MATTER.   |   |
|  |   |
| CHECK THE APPROPRIATE BOX(ES) BELOW:   |   |
| REPRESENTATIVE IS AN ATTORNEY  |   |
| CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THI<br>BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED,<br>DOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMA<br>CASEHANDLING MANUAL. |   |
| Name: Nicolas Miguel Stanojevich   |   |
| MAILING ADDRESS: 2 20th Street N., Suite 930, Birn   | ningham, AL 35203   |
|  |   |
| E-MAIL ADDRESS: nstanojevich@qcwdr.com   |   |
| <i>OFFICE TELEPHONE NUMBER</i> : 404-299-1211, ext. 12   |   |
| CELL PHONE NUMBER: 706-296-1950  | <sub>FAX:</sub> 4042991288  |
|  | 4-28-2021   |
| SIGNATURE: (Please sign in ink.)   | 7-20-2021   |
| (- 10000 000- 10000)   |   |

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